



Company Name Grid Energy Exchange LLC

Company Website www.gridenergyexchange.com

**1. Legal name of Applicant and the name under which the Applicant proposes to do business in Delaware.**

Grid Energy Exchange d/b/a N/A  
Name

☐ Applicants with a d/b/a must submit a copy of the Registration of Trade, Business & Fictitious Name Certificate for each of the three Delaware counties.

Provided in **Exhibit** \_\_\_\_\_

☐ Provide a list of names under which the Applicant, its Affiliated Interests, or any current or previous officer, director, or manager has previously done business in Delaware.

Provided in **Exhibit** \_\_\_\_\_

**1. Tax identification number:**

83-3475088  
Federal Tax ID Number

**2. Certifications:**

Certifications issued by the state of formation or incorporation that the Applicant is in good standing and qualified to do business in that state.

☒ Provide a Certificate of Good Standing issued by the Secretary of State of the state of formation or incorporation (if different from the State of Delaware) dated within the past 12 months certifying that the Applicant is in good standing and qualified to do business in Delaware. Provided in **Exhibit** A

☐ Provide a copy of the Applicants Business License certifying that the Applicant is registered and/or qualified to do business in the state of formation or incorporation (if different from the State of Delaware). Provided in **Exhibit** \_\_\_\_\_

**3. Authority to do Business:**

Each Applicant will provide a copy of the following documentation:

☒ Provide a Certificate of Good Standing issued by the Delaware Secretary of State dated within the past 12 months certifying that the Applicant is in good standing and qualified to do business in Delaware. Provided in **Exhibit** A-1

- ☒ Provide a copy of the Applicants Delaware Business License certifying that the Applicant is registered and/or qualified to do business in Delaware. Provided in Exhibit B & B-1

**4. Delaware Registered Agent:**

Each Applicant shall provide a designation in writing of the name and address of a person resident within the State of Delaware upon which service of any notice, order or process may be made. This information must be updated if changed.

James Pettit  
Name  
16192 Coastal Hwy. Lewes, DE 19958  
Address  
443-980-8856  
Phone

**5. Leadership:**

Provide the names, titles, addresses, and telephone numbers of the Applicants' principal officers, directors, partners, or other similar officials. Provided in Exhibit \_\_\_\_\_  
> James Pettit, President, 1010 Oella Ave, Ellicott City, Md 21043 Ph:443-980-8856

**6. Corporate Structure:**

Each Applicant shall provide a description of the Applicant's corporate structure, including all parent, affiliated, and subsidiary companies. Additionally, please provide a graphical depiction of such structure. Provided in Exhibit \_\_\_\_\_

Limited Liability Company, sole proprietor

- 7. Name, title, and telephone number of a Regulatory Contact Person:** This person will ordinarily be the initial point of contact for resolving complaints filed with the Commission. The Commission will also send any correspondence to this person. This information is required to be updated if there is a change.

James Pettit  
Name of Regulatory Contact  
President  
Title  
443-980-8856  
Telephone Number  
james@gridenergyexchange.com  
Email Address

- 8. Name, title, and telephone number of Complaint Contact Person:** If contact is different from the Regulatory Contact. **same**

\_\_\_\_\_  
Name of Complaint Contact

\_\_\_\_\_  
Title

\_\_\_\_\_  
Telephone Number

\_\_\_\_\_  
Email Address

9. **Name, title, and telephone number of Applicant's Attorney:** This person will ordinarily be the initial point of contact for resolving complaints filed with the Commission. The Commission will also send any correspondence to this person. This information is required to be updated if there is a change.

\_\_\_\_\_  
Name of Attorney

\_\_\_\_\_  
Firm

\_\_\_\_\_  
Address

\_\_\_\_\_  
Telephone Number

\_\_\_\_\_  
Email Address

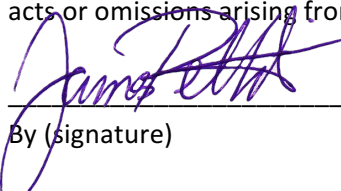
☒ No Attorney

10. **Toll-free telephone number of Applicants customer service department:** This number will be listed on the Commission's website as a resource for existing and potential customers.

n/a

Toll-free customer service number

11. **Consent to Jurisdiction:** A statement consenting to the jurisdiction of the Delaware courts for acts or omissions arising from the Electric Supplier's and its Agent's in the State.

  
\_\_\_\_\_  
By (signature)

**James Pettit**

\_\_\_\_\_  
Typed or Printed Name

**President**

\_\_\_\_\_  
Title

**12. Criminal activities statement:**

- ☐ A statement detailing any criminal activities, except for misdemeanors or lesser violations, of which the Applicant, any of its Affiliated Interests, officers, and directors (and prior officers and directors who left the Applicant's employ less than three (3) months before the filing of the application) have been convicted. Any criminal disclosure shall include a copy of any order of conviction and restitution. Provided in **Exhibit** \_\_\_\_\_
- ☒ Neither the Applicant nor its affiliated interests, officers or directors have been charged or convicted of any criminal activities.

**13. Certified Financial Statements and other indicia of financial capability:** Applicants submitting European-style financial statements shall include a statement of similarity.

- ☐ Provide copies of certified financial statements (balance sheet, income statement, statement of cash flows current within twelve (12) months of the filing).  
Provided in **Exhibit** \_\_\_\_\_ (If publicly traded the Applicant must submit the certified financial statements AND its most recent annual report to the shareholders and SEC Form 10-K, or a link to the report on the SEC website. If not publicly traded, the Applicant must submit the accounting statements, including balance sheet and income statement, audited financial statements, bank account statements, tax returns or other indicia of financial capability, or if applicable, the certified financial statements of a publicly traded parent.)
- ☒ Other indicia of financial capability submitted in support of the application (should be current within twelve (12) months of the filing). Provided in **Exhibit** E\_\_\_\_  
(bank statements since inception)

**14. Bankruptcy disclosure:**

- ☐ See **Exhibit** \_\_\_\_\_ for detailed statement of all bankruptcy proceedings filed by the Applicant in the past 24 months
- ☒ Neither the Applicant nor its affiliated interests has filed bankruptcy in the past 24 months

**15. Compliance with Regional Requirements:**

- ☒ Applicant agrees to only work with an entity that complies with PJM's requirements and is a Certified Electric Supplier in Delaware.

Provide a brief a list of Electric Suppliers through which the Applicant intends to arrange for the sale of electricity (**any change to this list must be updated with the Commission within five (5) Business Days of the change**):

**WGL, Direct Energy and Constellation Energy**

16. **Description of the nature of the business being conducted:** Description of service, types of customers and geographic area to be served.

Description of service: **provide renewable energy consulting and procurement services.**

Types of Customers: *Check all that apply*

- ☐ Residential ☒ Large Commercial  
☒ Industrial ☐ Small Commercial

Geographic Area: *Applicant should check one or both*

- ☒ Delmarva Power & Light Service Territory  
☐ Delaware Electric Cooperative Services Territory

17. **Relevant retail experience of each principal officer responsible for Delaware**

**operations.** In order to fulfill the requirements of the Supplier Rules an Applicant must present substantial evidence of technical and managerial competency the Applicant must submit, in an attachment, detailed professional resumes including dates, jobs/ job titles and duties for each principal officer responsible for operations in Delaware.

☒ Provided in **Exhibit C**

18. **List of states presently selling electric supply/broker services and a list of state with pending applications:** Please provide a list of the states in which the Applicant, or any of its affiliates, is now or has been engaged in the retail sale of electricity, status of the application (approved or pending), type of license, license number (if approved) or commission docket number (if pending): **NONE**

State: _____	Status: _____	Type of License: _____	License No: _____	Date Issued: _____
State: _____	Status: _____	Type of License: _____	License No: _____	Date Issued: _____
State: _____	Status: _____	Type of License: _____	License No: _____	Date Issued: _____
State: _____	Status: _____	Type of License: _____	License No: _____	Date Issued: _____
State: _____	Status: _____	Type of License: _____	License No: _____	Date Issued: _____
State: _____	Status: _____	Type of License: _____	License No: _____	Date Issued: _____

\*If more space is required please attach a separate sheet of paper with the above information. **Provided in Exhibit \_\_\_\_\_**

\*\*Applicant shall provide a copy of any order or decision from the state's public utility commission for each state listed above. **Provided in Exhibit \_\_\_\_\_**

19. **List of states in which Applicant has received authority to sell/broker services but is not currently providing services.** **NONE**

Delaware Public Service Commission  
Electric Broker Certificate

State: \_\_\_\_\_ Status: \_\_\_\_\_ Type of License: \_\_\_\_\_ License No: \_\_\_\_\_ Date Issued: \_\_\_\_\_  
 State: \_\_\_\_\_ Status: \_\_\_\_\_ Type of License: \_\_\_\_\_ License No: \_\_\_\_\_ Date Issued: \_\_\_\_\_  
 State: \_\_\_\_\_ Status: \_\_\_\_\_ Type of License: \_\_\_\_\_ License No: \_\_\_\_\_ Date Issued: \_\_\_\_\_  
 State: \_\_\_\_\_ Status: \_\_\_\_\_ Type of License: \_\_\_\_\_ License No: \_\_\_\_\_ Date Issued: \_\_\_\_\_

\*Applicant shall provide a copy of any order or decision from the state's public utility commission for each state listed above. **Provided in Exhibit** \_\_\_\_\_

**20. Other Proceedings - List of states or federal jurisdictions in which Applicant or its Affiliated Interests has been denied approval and/or had authority revoked.**

State: \_\_\_\_\_ Date certified: \_\_\_\_\_ **NONE**  
 State: \_\_\_\_\_ Date certified: \_\_\_\_\_

\*If the applicant has been denied approval or had its authority revoked by a state Commission please provide a detailed explanation for each state. **Provided in Exhibit** \_\_\_\_\_

☒ Applicant has never been denied or revoked

**21. List of States or Federal jurisdictions in which the Applicant or any of its Affiliated Interests has been found to be in violation of a state's laws, rules or regulations.**

**Provided in Exhibit** \_\_\_\_\_ **NONE**

**22. Please provide a copy of any settlement, adjudication, or court order with respect to an action filed by a state Attorney General, the Federal Trade Commission, or U.S. Department of Justice concerning the Applicant's participation in retail and federal electricity, natural gas, or telecommunications markets.**

**Provided in Exhibit** \_\_\_\_\_ **NONE**

**23. Pending Proceedings for revocation/suspensions:** Applicant shall provide a list of proceedings in which a revocation or suspension of authority to sell or broker electricity is pending or has been adjudicated, and the name case number, venue, final orders and settlement agreements for each case identified. **NONE**

State: \_\_\_\_\_ Case Number: \_\_\_\_\_ Venue: \_\_\_\_\_ Final Order No: \_\_\_\_\_ Date Issued: \_\_\_\_\_  
 State: \_\_\_\_\_ Case Number: \_\_\_\_\_ Venue: \_\_\_\_\_ Final Order No: \_\_\_\_\_ Date Issued: \_\_\_\_\_  
 State: \_\_\_\_\_ Case Number: \_\_\_\_\_ Venue: \_\_\_\_\_ Final Order No: \_\_\_\_\_ Date Issued: \_\_\_\_\_

☐ Additional information provided in **Exhibit** \_\_\_\_\_

**24. Security:** The Commission may determine that an Applicant requesting to be a Broker is required to post security in the amount of \$10,000 in order to ensure that the Applicant has sufficient financial ability to operate as a Broker in the State. This will be determined on a case-by-case basis.

**note: applicant will not be taking title to any energy or providing billing services.**

**25. Any other information:**

- ☐ Other material submitted in support of the Application. Provided in **Exhibit** \_\_\_\_\_
- ☐ No other supporting material is provided

**26. Verification of Application:** The Application must be accompanied by a signed, notarized verification of a principal officer of the Applicant stating that all information in the application is true and correct as filed to the best of the principal's or officer's belief. Where the Applicant is a corporation or an association, the verification shall be signed by an officer thereof and notarized. (*See Attachment A for an example*)

- ☒ Verification is provided in **Exhibit** D

**27. Waiver of certification requirements:** Only applicable to Applicants requesting a waiver.

- ☐ Applicant requests a waiver of the requirements in Section(s) \_\_\_\_\_. Please provide a detailed explanation in support of the request for a waiver below: *If additional space is required please attach additional sheets of paper to the Application as necessary.* Provided in **Exhibit** \_\_\_\_\_

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- ☒ No waiver requested

**28. Marketing Plans and Materials:** If the Applicant intends to serve Residential and Small Commercial, the Applicant shall provide the marketing plan and all marketing materials (please see Reg. 49, Supplier Rule - **Sections 2.2.13 – 2.2.14** for a complete list of materials to be submitted).

Please provide a description of the marketing plan(s) and/or methods Applicant plans to use in Delaware, the description should identify whether Door-to-Door, Telemarketing, direct mail, or other marketing channels will be used and, where applicable, the identification of third party vendors that the Applicant will utilize. Additionally, please include the manner in which the Applicant will ensure oversight, training, and compliance with the Supplier Regulations.

**Marketing activities will be limited to existing clients with operations in Delaware.**

**No direct mail, telemarketing or door-to-door sales tactics will be used.**

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- ☐ Additional information provided in **Exhibit** \_\_\_\_\_

***STATE OF MARYLAND***  
***Department of Assessments and Taxation***

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I, MICHAEL L. HIGGS OF THE STATE DEPARTMENT OF ASSESSMENTS AND TAXATION OF THE STATE OF MARYLAND, DO HEREBY CERTIFY THAT THE DEPARTMENT, BY LAWS OF THE STATE, IS THE CUSTODIAN OF THE RECORDS OF THIS STATE RELATING TO LIMITED LIABILITY COMPANIES, OR THE RIGHTS OF LIMITED LIABILITY COMPANIES TO TRANSACT BUSINESS IN THIS STATE, AND THAT I AM THE PROPER OFFICER TO EXECUTE THIS CERTIFICATE.

I FURTHER CERTIFY THAT GRID ENERGY EXCHANGE LLC (W19412048), REGISTERED FEBRUARY 07, 2019, IS A LIMITED LIABILITY COMPANY EXISTING UNDER AND BY VIRTUE OF THE LAWS OF THE STATE OF MARYLAND, AND THAT THE LIMITED LIABILITY COMPANY IS AT THE TIME OF THIS CERTIFICATE IN GOOD STANDING TO TRANSACT BUSINESS.

IN WITNESS WHEREOF, I HAVE HEREUNTO SUBSCRIBED MY SIGNATURE AND AFFIXED THE SEAL OF THE STATE DEPARTMENT OF ASSESSMENTS AND TAXATION OF MARYLAND AT BALTIMORE ON THIS JANUARY 08, 2020.



Michael L. Higgs  
Director



*301 West Preston Street, Baltimore, Maryland 21201*  
*Telephone Baltimore Metro (410) 767-1340 / Outside Baltimore Metro (888) 246-5941*  
*MRS (Maryland Relay Service) (800) 735-2258 TT/Voice*

Online Certificate Authentication Code: **IHjLhiutEye4znq9-1WFQ**  
To verify the Authentication Code, visit <http://dat.maryland.gov/verify>

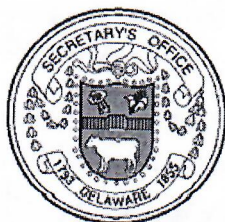


# Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "GRID ENERGY EXCHANGE LLC" IS DULY REGISTERED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE THIRTEENTH DAY OF JANUARY, A.D. 2020.



7791441 8300

SR# 20200173460

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

A handwritten signature in black ink, appearing to read "JBullock", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed.

Authentication: 202172798

Date: 01-13-20

**Exhibit B - Delaware business registration**

State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 12:47 PM 01/09/2020  
FILED 12:47 PM 01/09/2020  
SR 20200173460 - File Number 7791441

**STATE OF DELAWARE CERTIFICATE OF REGISTRATION  
OF A FOREIGN LIMITED LIABILITY COMPANY**

The foreign limited liability company hereby certifies as follows:

1. The name under which the foreign limited liability company is registering in the State of Delaware is GRID ENERGY EXCHANGE LLC.

(If different, the name under which they are registered in their foreign jurisdiction

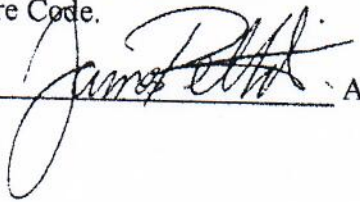
2. The foreign limited liability company was formed under the laws of Maryland on February 7, 2019. As of the date of this filing; the foreign limited liability company validly exists as a limited liability company under the laws of the jurisdiction of its formation.

3. The nature of the business or purposes to be conducted or promoted by the foreign limited liability company in the State of Delaware is as follows: Energy procurement consulting.

4. The Registered Office of the foreign limited liability company in the State of Delaware is located at 31848 Carmine Dr, Rehoboth DE 19971. The name of the Registered Agent at such address upon whom process against this foreign limited liability company may be served is Mr. James Pettit.

5. The date on which the foreign limited liability company first did or intends to do business in the State of Delaware is February 1, 2020.

6. The Secretary of State of the State of Delaware is appointed the agent of the foreign limited liability company for service of process under the circumstances set forth in Title 6, Section 18-910(b) of the Delaware Code.

By:  Authorized Person(s)

Name: James Pettit

## Exhibit B - Delaware business licence



### State of Delaware Division of Revenue

— Temporary License —

Valid for 60 days from Receipt Date

**License number:** 2020700217

**Name:** Grid Energy Exchange LLC

**Address:** 1010 Oella Ave  
Ellicott City, MD 21043-4784

Revenue Code	Subtype	Tax Year	Tax Period	Business Code	Amount
0101	01	2020	12/31/2020	888 BIDDING PURPOSE ONLY	\$0.00

Receipt Date	DLN
01/08/2020	20-92837-22

# Exhibit C - Principal Experience

## James K. Pettit

Fax 1010 Oella Ave  
Ellicott City, Md. 21043

Phone: 443-980-8856  
james@gridenergyexchange.com

### SUMMARY

Top-performing business development executive with a proven entrepreneurial and deal driven personality. Customer orientation with effective negotiation, analytical & communication skills to close complex transactions. Strong sales management and structuring track record.

Expertise includes:

- Renewable Energy Portfolios
- Key Account Management
- C-Suite Selling
- Large/Multi-Year Contracts
- Clean Energy Product Development
- Virtual Power Purchase Agreements

### PROFESSIONAL EXPERIENCE

#### PRESIDENT

GRID ENERGY EXCHANGE

9/2009 TO PRESENT

- Founded clean energy consulting firm providing strategic energy solutions to Commercial & Industrial clients in the Mid-Atlantic region.

#### PRESIDENT

INTEGRATED ENERGY SERVICES

3/2005 TO 2009

- Founded energy management firm providing energy risk management and procurement services to Commercial & Industrial markets in the Mid-Atlantic region.
- Representative clients include USPS, State of Maryland, Whole Foods Market, Burger King, IKEA, Delta Chemical, TraderJoes, SunEdison.
- Federal & Public market development for utility and energy service providers
- Advisor to various governmental agencies for PV electricity supply and energy conservation programs.

#### MANAGING DIRECTOR

ALLIANT ENERGY INTEGRATED SERVICES

1/2003 TO 3/2005

- Responsible for business development and sales of customized energy solutions to national accounts
- Developed customized demand side energy solutions to C level executives for service offerings including infrastructure assessment, generation, co-generation, performance contracting, and O&M
- Developed Mapping structuring model for negotiating and structuring contracts, finance terms and performance guarantees with fortune 500 accounts

#### PJM SALES MANAGER

SEMPRA ENERGY SOLUTIONS

2001 TO 11/2002

- Lead business development and sales of commodity and energy solutions to commercial and industrial customers in the NE region.
- Established marketing plan and marketing relations for the region.
- Closed 90 Megawatt's of power transactions.
- Built platform for product delivery through network of Engineering Companies, Associations and direct sales.
- Managed relationships with major accounts in various project development stages.

## Exhibit C - Principal Experience, continued

James K. Pettit

page 2

### VICE PRESIDENT OF SALES

RETX.COM

2000

- Responsible for developing executive relationships with regional energy companies in the Mid Atlantic area to provide integrated customized load management, customer enrollment, meter information management, retail settlements and curtailment management solutions.
- Developed sales pipeline, negotiated and built relationships with key energy companies within region.
- Managed 3rd party relationships: consultants, industry policy makers and partnerships.
- Provided executive team with critical feedback on market development and competitive environment.

### VICE PRESIDENT OF SALES

UTILICORP/AGF

1991 TO 2000

- Top sales producer with highest P&L contribution for 1994 through 1998 resulting in INC. 500 ranking among fastest growing companies for AGF division in 1994 and 1995
- Increased account base by 38% with new major supply contracts exceeding goals
- Designed marketing plans establishing profit goals and product pricing strategies maximizing sales growth of energy services
- Obtained and managed multi-year contracts for the State of MD, Baltimore Housing Authority and the MD Hospital Group (12 hospitals)

### MARKETING DIRECTOR

KLAS-TV, CBS AFFILIATE -LAS VEGAS, NV

1989 to 1990

- Created and managed successful sales conversion campaign targeting new client base
- Trained sales staff on conversion methods to increase market share from print media
- Designed and implemented market research poll with the Gallop Organization which resulted in new target markets for gaming and hospitality segments
- Responsible for raising the Las Vegas television market with Neilson and Arbitron by 9 market positions from marketing campaign and priority research

### SENIOR ANALYST

COOPERS & LYBRAND, LAS VEGAS, NV

1988 TO 1989

- Conducted feasibility studies for large commercial and residential developments
- Developed work scope and presentations for development mix
- Created financial and economic models to support project strategies

### EDUCATION

Bachelor of Business Administration, Marketing  
University of Texas Business School at Austin, 1988



# Exhibit D

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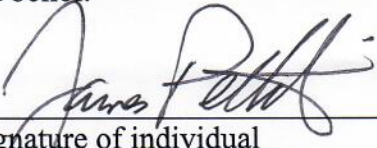
Delaware Public Service Commission  
Electric Broker Certificate

## Verification of Application

### Attachment A VERIFICATION

STATE OF MARYLAND )  
 ) SS  
COUNTY OF HOWARD )

On this 15 day of JANUARY, 2020, personally came before me, the subscriber, a Notary Public in and for the state and county aforesaid, **James Pettit** [name of individual who is signing] as the PRESIDENT [authority of individual or title of individual who is signing, e.g., President, Vice President, Sole Member/Manager, Trustee, etc.] of Grid Energy Exchange [name of company or entity that is filing], known to me personally to be such or having presented to me satisfactory evidence of identity, and acknowledged this document to be [his or her] act and deed and the act and deed of such **limited liability company** [type of filer, e.g. corporation, limited liability company, etc.], that the signature of such individual is in [his or her] own proper handwriting, and that the facts set forth in this **application** [type of filing, e.g., application, petition, etc.] are true and correct to the best of [his or her] knowledge, information, and belief.

  
\_\_\_\_\_  
Signature of individual  
Printed Name: **James Pettit**

**SIGNED AND SWORN (OR AFFIRMED)** before me on this 15 day of JANUARY 2020 by JAMES PETTIT (name of individual who signed above).

  
\_\_\_\_\_  
Signature of Notarial Officer

Relationship Banker III  
\_\_\_\_\_  
Title (e.g., Notary Public)

**SEAL**



My Commission Expires:

06-21, 2020



3301 Boston Street  
Baltimore, MD 21224






## Statement Ending 12/31/2019

Page 1 of 2

RETURN SERVICE REQUESTED

GRID ENERGY EXCHANGE LLC  
1010 OELLA AVE  
ELLCOTT CITY MD 21043-4784

### Managing Your Accounts

	Primary Branch	Snowden River
	Phone Number	410-750-3285
	Online Banking	HowardBank.com
	Telephone Banking	1-877-527-2703
	Mailing Address	6011 University Blvd Suite 150 Ellicott City, MD 21043

### Summary of Accounts

Account Type	Account Number	Ending Balance
SMALL BUSINESS CHECKING	XXXXXXXXXX43	\$62.31

### SMALL BUSINESS CHECKING-XXXXXXXXXX43

#### Account Summary

Date	Description	Amount
11/30/2019	Beginning Balance	\$60.81
	1 Credit(s) This Period	\$4,651.50
	1 Debit(s) This Period	\$4,650.00
12/31/2019	Ending Balance	\$62.31

#### Electronic Credits

Date	Description	Amount
12/16/2019	RENDEX 52512	\$4,651.50
		1 item(s) totaling \$4,651.50

#### Electronic Debits

Date	Description	Amount
12/19/2019	USA CHK XXXXXXXXX44	\$4,650.00
		1 item(s) totaling \$4,650.00

#### Daily Balances

Date	Amount	Date	Amount
12/16/2019	\$4,712.31	12/19/2019	\$62.31

#### Overdraft and Returned Item Fees

	Total for this period	Total year-to-date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item Fees	\$0.00	\$0.00





3301 Boston Street  
Baltimore, MD 21224






## Statement Ending 11/29/2019

Page 1 of 2

RETURN SERVICE REQUESTED

GRID ENERGY EXCHANGE LLC  
1010 OELLA AVE  
ELLCOTT CITY MD 21043-4784

### Managing Your Accounts

	Primary Branch	Snowden River
	Phone Number	410-750-3285
	Online Banking	HowardBank.com
	Telephone Banking	1-877-527-2703
	Mailing Address	6011 University Blvd Suite 150 Ellicott City, MD 21043

### Summary of Accounts

Account Type	Account Number	Ending Balance
SMALL BUSINESS CHECKING	XXXXXXXXXX43	\$60.81

### SMALL BUSINESS CHECKING-XXXXXXXXXX43

#### Account Summary

Date	Description	Amount
11/01/2019	Beginning Balance	\$62.96
	1 Credit(s) This Period	\$997.85
	1 Debit(s) This Period	\$1,000.00
11/29/2019	Ending Balance	\$60.81

#### Electronic Credits

Date	Description	Amount
11/18/2019	RenDex 52512	\$997.85
		1 item(s) totaling \$997.85

#### Electronic Debits

Date	Description	Amount
11/26/2019	USAA CHK- XXXXXXXX44	\$1,000.00
		1 item(s) totaling \$1,000.00

#### Daily Balances

Date	Amount	Date	Amount
11/18/2019	\$1,060.81	11/26/2019	\$60.81

#### Overdraft and Returned Item Fees

	Total for this period	Total year-to-date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item Fees	\$0.00	\$0.00







3301 Boston Street  
Baltimore, MD 21224






## Statement Ending 10/31/2019

Page 1 of 2

RETURN SERVICE REQUESTED

GRID ENERGY EXCHANGE LLC  
1010 OELLA AVE  
ELLCOTT CITY MD 21043-4784

### Managing Your Accounts

	Primary Branch	Snowden River
	Phone Number	410-750-3285
	Online Banking	HowardBank.com
	Telephone Banking	1-877-527-2703
	Mailing Address	6011 University Blvd Suite 150 Ellicott City, MD 21043

### Summary of Accounts

Account Type	Account Number	Ending Balance
SMALL BUSINESS CHECKING	XXXXXXXXXX43	\$62.96

### SMALL BUSINESS CHECKING-XXXXXXXXXX43

#### Account Summary

Date	Description	Amount
10/01/2019	Beginning Balance	\$1,562.44
	1 Credit(s) This Period	\$3,533.52
	2 Debit(s) This Period	\$5,033.00
10/31/2019	Ending Balance	\$62.96

#### Electronic Credits

Date	Description	Amount
10/16/2019	RENDEX 52512	\$3,533.52
		1 item(s) totaling \$3,533.52

#### Electronic Debits

Date	Description	Amount
10/08/2019	USA CHK XXXXXXXXX44	\$1,500.00
10/18/2019	USA CHK XXXXXXXXX44	\$3,533.00
		2 item(s) totaling \$5,033.00

#### Daily Balances

Date	Amount	Date	Amount	Date	Amount
10/08/2019	\$62.44	10/16/2019	\$3,595.96	10/18/2019	\$62.96

#### Overdraft and Returned Item Fees

	Total for this period	Total year-to-date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item Fees	\$0.00	\$0.00





3301 Boston Street  
Baltimore, MD 21224






## Statement Ending 09/30/2019

Page 1 of 2

RETURN SERVICE REQUESTED

GRID ENERGY EXCHANGE LLC  
1010 OELLA AVE  
ELLCOTT CITY MD 21043-4784

### Managing Your Accounts

	Primary Branch	Snowden River
	Phone Number	410-750-3285
	Online Banking	HowardBank.com
	Telephone Banking	1-877-527-2703
	Mailing Address	6011 University Blvd Suite 150 Ellicott City, MD 21043

### Summary of Accounts

Account Type	Account Number	Ending Balance
SMALL BUSINESS CHECKING	XXXXXXXXXX43	\$1,562.44

### SMALL BUSINESS CHECKING-XXXXXXXXXX43

#### Account Summary

Date	Description	Amount
08/31/2019	Beginning Balance	\$633.93
	1 Credit(s) This Period	\$5,928.51
	1 Debit(s) This Period	\$5,000.00
09/30/2019	Ending Balance	\$1,562.44

#### Electronic Credits

Date	Description	Amount
09/13/2019	RenDex 52512	\$5,928.51
		1 item(s) totaling \$5,928.51

#### Electronic Debits

Date	Description	Amount
09/17/2019	USA CHK XXXXXXXX44	\$5,000.00
		1 item(s) totaling \$5,000.00

#### Daily Balances

Date	Amount	Date	Amount
09/13/2019	\$6,562.44	09/17/2019	\$1,562.44

#### Overdraft and Returned Item Fees

	Total for this period	Total year-to-date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item Fees	\$0.00	\$0.00





3301 Boston Street  
Baltimore, MD 21224






## Statement Ending 08/30/2019

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	Phone Number	410-750-3285
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	Telephone Banking	1-877-527-2703
	Mailing Address	6011 University Blvd Suite 150 Ellicott City, MD 21043

### Summary of Accounts

Account Type	Account Number	Ending Balance
SMALL BUSINESS CHECKING	XXXXXXXXXX43	\$633.93

### SMALL BUSINESS CHECKING-XXXXXXXXXX43

#### Account Summary

Date	Description	Amount
08/01/2019	Beginning Balance	\$48.20
	1 Credit(s) This Period	\$585.73
	0 Debit(s) This Period	\$0.00
08/30/2019	Ending Balance	\$633.93

#### Electronic Credits

Date	Description	Amount
08/16/2019	RENDEX 52512	\$585.73
		1 item(s) totaling \$585.73

#### Daily Balances

Date	Amount
08/16/2019	\$633.93

#### Overdraft and Returned Item Fees

	Total for this period	Total year-to-date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item Fees	\$0.00	\$0.00



3301 Boston Street  
Baltimore, MD 21224






## Statement Ending 07/31/2019

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### Managing Your Accounts

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	Phone Number	410-750-3285
	Online Banking	HowardBank.com
	Telephone Banking	1-877-527-2703
	Mailing Address	6011 University Blvd Suite 150 Ellicott City, MD 21043

### Summary of Accounts

Account Type	Account Number	Ending Balance
SMALL BUSINESS CHECKING	XXXXXXXXXX43	\$48.20

### SMALL BUSINESS CHECKING-XXXXXXXXXX43

#### Account Summary

Date	Description	Amount
06/29/2019	<b>Beginning Balance</b>	<b>-\$94.70</b>
	2 Credit(s) This Period	\$2,992.90
	1 Debit(s) This Period	\$2,850.00
07/31/2019	<b>Ending Balance</b>	<b>\$48.20</b>

#### Electronic Credits Date

Description	Amount
07/16/2019 RenDex 52512	\$2,922.90
1 item(s) totaling \$2,922.90	

#### Other Credits

Other Credits	
Date	Description
07/10/2019	MISCELLANEOUS CREDIT
1 item(s) totaling \$70.00	

#### Electronic Debits

Date	Description	Amount
07/18/2019	USA CHK-INTRNT TRANSFER *****44	\$2,850.00
1 item(s) totaling		\$2,850.00

#### Daily Balances

Date	Amount	Date	Amount	Date	Amount
07/10/2019	-\$24.70	07/16/2019	\$2,898.20	07/18/2019	\$48.20

#### Overdraft and Returned Item Fees

	Total for this period	Total year-to-date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item Fees	\$0.00	\$0.00





3301 Boston Street  
Baltimore, MD 21224






## Statement Ending 06/28/2019

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RETURN SERVICE REQUESTED

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### Managing Your Accounts

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	Phone Number	410-750-3285
	Online Banking	HowardBank.com
	Telephone Banking	1-877-527-2703
	Mailing Address	6011 University Blvd Suite 150 Ellicott City, MD 21043

### Summary of Accounts

Account Type	Account Number	Ending Balance
SMALL BUSINESS CHECKING	XXXXXXXXXX43	-\$94.70

### SMALL BUSINESS CHECKING-XXXXXXXXXX43

#### Account Summary

Date	Description	Amount
06/01/2019	Beginning Balance	\$0.01
	3 Credit(s) This Period	\$5,976.85
	5 Debit(s) This Period	\$6,071.56
06/28/2019	Ending Balance	-\$94.70

#### Electronic Credits

Date	Description	Amount
06/18/2019	RenDex 52512	\$5,976.29
06/27/2019	USA FSB XXXXXXXX87	\$0.24
06/27/2019	USA FSB XXXXXXXX55	\$0.32
		3 item(s) totaling \$5,976.85

#### Electronic Debits

Date	Description	Amount
06/27/2019	USA FSB XXXXXXXX79	\$0.56
		1 item(s) totaling \$0.56

#### Other Debits

Date	Description	Amount
06/26/2019	Wire Transfer Fee 164904	\$25.00
06/26/2019	Outgoing Wire 164904 James Pettit	\$5,976.00
06/27/2019	CHK# 00 AMT \$5,976.	
		2 item(s) totaling \$6,001

#### Daily Balances

Date	Amount	Date	Amount
06/18/2019	\$5,976.30	06/27/2019	-\$59.70
06/26/2019	-\$24.70	06/28/2019	-\$94.70





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## Statement Ending 05/31/2019

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	Phone Number	410-750-3285
	Online Banking	HowardBank.com
	Telephone Banking	1-877-527-2703
	Mailing Address	6011 University Blvd Suite 150 Ellicott City, MD 21043

### Summary of Accounts

Account Type	Account Number	Ending Balance
SMALL BUSINESS CHECKING	XXXXXXXXXX43	\$0.01

### SMALL BUSINESS CHECKING-XXXXXXXXXX43

#### Account Summary

Date	Description	Amount
05/01/2019	Beginning Balance	\$0.01
	0 Credit(s) This Period	\$0.00
	0 Debit(s) This Period	\$0.00
05/31/2019	Ending Balance	\$0.01

#### Important Notice:

Effective May 1, 2019, Howard Bank is making changes to the fees charged for some commercial and treasury management services. The new fee schedule can be viewed at [www.HowardBank.com/CommercialPricing](http://www.HowardBank.com/CommercialPricing). Questions regarding the changes should be directed to 410-735-2003 or [TreasuryManagement@HowardBank.com](mailto:TreasuryManagement@HowardBank.com).

#### Overdraft and Returned Item Fees

	Total for this period	Total year-to-date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item Fees	\$0.00	\$0.00





3301 Boston Street  
Baltimore, MD 21224






## Statement Ending 04/30/2019

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ELLCOTT CITY MD 21043-4784

### Managing Your Accounts

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	Phone Number	410-750-3285
	Online Banking	HowardBank.com
	Telephone Banking	1-877-527-2703
	Mailing Address	6011 University Blvd Suite 150 Ellicott City, MD 21043

### Summary of Accounts

Account Type	Account Number	Ending Balance
SMALL BUSINESS CHECKING	XXXXXXXXX5943	\$0.01

### SMALL BUSINESS CHECKING-XXXXXXXXX5943

#### Account Summary

Date	Description	Amount
03/30/2019	Beginning Balance	\$0.01
	0 Credit(s) This Period	\$0.00
	0 Debit(s) This Period	\$0.00
04/30/2019	Ending Balance	\$0.01

#### Important Notice:

Effective May 1, 2019, Howard Bank is making changes to the fees charged for some commercial and treasury management services. The new fee schedule can be viewed at [www.HowardBank.com/CommercialPricing](http://www.HowardBank.com/CommercialPricing). Questions regarding the changes should be directed to 410-735-2003 or [TreasuryManagement@HowardBank.com](mailto:TreasuryManagement@HowardBank.com).

#### Overdraft and Returned Item Fees

	Total for this period	Total year-to-date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item Fees	\$0.00	\$0.00





3301 Boston Street  
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## Statement Ending 03/29/2019

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	Phone Number	410-750-3285
	Online Banking	HowardBank.com
	Telephone Banking	1-877-527-2703
	Mailing Address	6011 University Blvd Suite 150 Ellicott City, MD 21043

### Summary of Accounts

Account Type	Account Number	Ending Balance
SMALL BUSINESS CHECKING	XXXXXXXXX5943	\$0.01

### SMALL BUSINESS CHECKING-XXXXXXXXX5943

#### Account Summary

Date	Description	Amount
03/01/2019	Beginning Balance	\$0.01
	0 Credit(s) This Period	\$0.00
	0 Debit(s) This Period	\$0.00
03/29/2019	Ending Balance	\$0.01

#### Important Notice:

Effective May 1, 2019, Howard Bank is making changes to the fees charged for some commercial and treasury management services. The new fee schedule can be viewed at [www.HowardBank.com/CommercialPricing](http://www.HowardBank.com/CommercialPricing). Questions regarding the changes should be directed to 410-735-2003 or [TreasuryManagement@HowardBank.com](mailto:TreasuryManagement@HowardBank.com).

#### Overdraft and Returned Item Fees

	Total for this period	Total year-to-date
Total Overdraft Fees	\$0.00	\$0.00
Total Returned Item Fees	\$0.00	\$0.00

